Implantable Bone-Conduction and Bone-Anchored Hearing Devices

Description/Background

Hearing Loss
Hearing loss is described as conductive, sensorineural, or mixed and can be unilateral or bilateral. Normal hearing detects sound at or below 20 dB (decibel). The American Speech-Language-Hearing Association (ASLHA) has defined the degree of hearing loss based on pure-tone average (PTA) detection thresholds as mild (20-40 dB), moderate (40-60 dB), severe (60-80 dB), and profound (≥80 dB). PTA is calculated by averaging hearing sensitivities (ie, the minimum volume that a patient hears) at multiple frequencies (perceived as pitch), typically within the range of 0.25 to 8 kHz.

Sound amplification using an air-conduction (AC) hearing aid can provide benefit to patients with sensorineural or mixed hearing loss. Contralateral routing of signal (CROS) is a system in which a microphone on the affected side transmits a signal to an AC hearing aid on the normal or less affected side.

Treatment
External bone-conduction hearing devices function by transmitting sound waves through the bone to the ossicles of the middle ear. The external devices must be applied close to the temporal bone, with either a steel spring over the top of the head or a spring-loaded arm on a pair of spectacles. These devices may be associated with pressure headaches or soreness.

The bone-anchored implant system combines a vibrational transducer coupled directly to the skull via a percutaneous abutment that permanently protrudes through the skin from a small titanium implant anchored in the temporal bone. The system is based on osseointegration through which living tissue integrates with titanium in the implant over a period of 3 to 6 months, conducting amplified and processed sound via the skull bone directly to the cochlea. The lack of intervening skin permits the transmission of vibrations at a lower energy level than required for external bone-conduction hearing aids. Implantable bone-conduction hearing systems are primarily indicated for people with conductive or mixed sensorineural/conductive hearing loss.
They may also be used with CROS as an alternative to an AC hearing aid for individuals with unilateral sensorineural hearing loss.

Partially implantable magnetic bone-conduction hearing systems, also referred to as transcutaneous bone-anchored systems, are an alternative to bone-conduction hearing systems that connect percutaneously via an abutment. With this technique, acoustic transmission occurs transcutaneously via magnetic coupling of the external sound processor and the internally implanted device components. The bone-conduction hearing processor contains magnets that adhere externally to magnets implanted in shallow bone beds with the bone-conduction hearing implant. Because the processor adheres magnetically to the implant, there is no need for a percutaneous abutment to physically connect the external and internal components. To facilitate greater transmission of acoustics between magnets, skin thickness may be reduced to 4 to 5 mm over the implant when it is surgically placed.

### Regulatory Status

Six Baha® sound processors manufactured by Cochlear Americas (Englewood, CO) have been cleared for marketing by the U.S. Food and Drug Administration (FDA) through the 510(k) process for use with the BAHA auditory osseointegrated implant system:

- Baha® 5
- Baha® Cordelle II
- Baha Divino®
- Baha Intenso® (digital signal processing)
- Baha® BP 100
- Baha® 4 (upgraded from the BP100)

FDA cleared the Baha system for use in children aged 5 years and older and adults for the following indications:

- Patients who have conductive or mixed hearing loss and can still benefit from sound amplification;
- Patients with bilaterally symmetric conductive or mixed hearing loss, may be implanted bilaterally;
- Patients with sensorineural deafness in one ear and normal hearing in the other (i.e., single-sided deafness, SSD);
- Patients who are candidates for an air-conduction contralateral routing of signals (AC CROS) hearing aid but who cannot or will not wear an AC CROS device.

Other implantable bone-conduction hearing systems that rely on an abutment and have similar indications as the Cochlear Americas’ Baha devices:

- Ponto Bone Anchored Hearing System (Oticon Medical). Cleared September 2012. A next-generation Ponto Pro device can be used with either Oticon or Baha implants.
Two partially implantable magnetic bone-conduction devices have been cleared by the FDA through the 510(k) process are:
- Otomag® Bone Conduction Hearing System (Sophono, Boulder, CO, now Medtronic, Minneapolis, MN),
- Cochlear BAHA® 4 Attract (Cochlear Americas, Centennial, CO)

The Bonebridge™ (MED-EL, Innsbruck, Austria) is another partially implantable bone-conduction implant that is considered an active transcutaneous device. It has been cleared for marketing in Europe but has not received FDA approval for use in the United States.

The SoundBite™ Hearing System (Sonitus Medical, San Mateo, CA) is an intraoral bone-conducting hearing prosthesis that consists of a behind-the-ear microphone and an in-the-mouth hearing device. In 2011, it was cleared for marketing by FDA through the 510(k) process for indications similar to the Baha. Sonitus Medical closed in 2015.

FDA product code (for bone-anchored hearing aid): LXB. FDA product code (for implanted bone-conduction hearing aid): MAH.

BAHA sound processors can be used with the BAHA® Softband™. With this application, there is no implantation surgery. The sound processor is attached to the head using a hard or soft headband. The amplified sound is transmitted transcutaneously to the cochlea via the bones of the skull. In 2002, the BAHA® Softband™ was cleared for marketing by the FDA for use in children younger than 5 years.

Medical Policy Statement

The safety and effectiveness of unilateral or bilateral fully- or partially-implanted bone-conduction (bone-anchored) hearing aid(s) have been established. They may be considered a useful therapeutic option when indicated.

Inclusionary and Exclusionary Guidelines (Clinically based guidelines that may support individual consideration and pre-authorization decisions)

Inclusions:

**Conductive Hearing Loss:**
Unilateral or bilateral fully- or partially-implantable bone-conduction* (bone-anchored) hearing aid(s) may be necessary as an alternative to an air-conduction hearing aid in patients 5 years of age and older with conductive or mixed hearing loss who also meet at least one of the following criteria:
- Congenital or surgically-induced malformations (e.g., atresia) of the external ear canal or middle ear; OR
- Chronic external otitis or otitis media; OR
- Tumors of the external canal and/or tympanic cavity; OR
- Chronic dermatitis of the external canal prohibiting the usage of an air conduction hearing aid

AND meet the following audiologic criteria:
- A pure-tone average bone-conduction threshold measured at 0.5, 1, 2, and 3 kHz or better than or equal to 45 dB (OBC and BP100, Baha 4 and Baha 5 devices), 55 dB (Intenso device), or 65 dB (Cordele II and Baha 5 SuperPower devices).

For bilateral implantation, patients should meet the above audiologic criteria in both ears and have symmetrically conductive or mixed hearing loss as defined by a difference between left and right side bone-conduction threshold of less than 10 dB on average measured at 0.5, 1, 2, and 3 kHz (4 kHz for OBC and Ponto Pro), or less than 15 dB at individual frequencies.

**Sensorineural Hearing Loss**:
A unilateral implantable bone-conduction (bone-anchored) hearing aid may be considered medically necessary as an alternative to an air-conduction contralateral routing of signal hearing aid in patients 5 years of age and older with single-sided sensorineural deafness and normal hearing in the other ear. The pure-tone average air-conduction threshold of the normal ear should be better than 20 dB measured at 0.5, 1, 2, and 3 kHz.

*The Audiant® bone conductor is a bone-conduction hearing device. While this product is no longer actively marketed, patients with existing Audiant devices may require replacement, removal, or repair.*

In patients being considered for implantable bone-conduction (bone-anchored) hearing aid(s), skull bone quality and thickness should be assessed for adequacy to ensure implant stability. Additionally, patients (or caregivers) must be able to perform proper hygiene to prevent infection and ensure the stability of the implants and percutaneous abutments.

**Exclusions**: Other uses of implantable bone-conduction (bone-anchored) hearing aids, including use in patients with bilateral sensorineural hearing loss, are considered experimental/investigational.

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**CPT/HCPCS Level II Codes** *(Note: The inclusion of a code in this list is not a guarantee of coverage. Please refer to the medical policy statement to determine the status of a given procedure.)*

**Established codes**

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**Other codes (investigational, not medically necessary, etc.)**

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*Note: The above code(s) may not be covered by all contracts or certificates. Please consult customer or provider inquiry resources at BCBSM or BCN to verify coverage.*

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**Rationale**
The evidence related to the use of implantable bone-conduction devices, also referred to as bone-anchored hearing aids (BAHAs), is characterized by observational studies that report pre- and post-implant hearing outcomes for patients treated with these devices. Many of these
studies combine patients with different underlying disease states and indications. No randomized controlled trials (RCTs) have compared implantable bone-conduction hearing aids to other hearing augmentation devices or sham devices. However, given the objectively measured outcomes and the largely invariable natural history of hearing loss in individuals who would be eligible for an implantable bone-conduction device, a within-subjects comparison of hearing before and after device placement may be a reasonable study design. This evidence review will first describe efficacy of BAHA devices as a group, which includes studies using both percutaneous and transcutaneous devices, although most devices used in the studies were percutaneous. Second, the review will describe studies that focus on transcutaneous devices. Following is a summary of key findings.

OVERALL EFFICACY OF BONE-ANCHORED HEARING AIDS

Systematic Reviews
Two systematic reviews by the Health Technology Assessment Program (2011) were published on the use of BAHAs for bilateral hearing impairment.(1,2) The quality of available studies on the use of BAHAs was weak. No studies with control groups were identified. Cohort pre-post studies and cross-sectional comparative studies demonstrated improvements in hearing with use of BAHAs over conventional bone-conduction hearing aids or unaided hearing. However, whether improvements in hearing with BAHAs were greater than with air-conduction (AC) hearing aids is uncertain. Additionally, bilateral use of BAHAs improved hearing outcomes in some patients over unilateral use, but that evidence, too, was uncertain. Implant loss ranged between 6.1% and 19.4%. Reviewers noted hearing-specific quality of life improved, but overall quality of life did not differ.

Observational Studies
Since the publication of the systematic reviews, a number of observational studies have evaluated specific aspects of BAHA implantation or reported outcomes in specific populations. Several have suggested that newer generation BAHAs with fully digital signal processors improve hearing to a greater degree than older generation devices.(3,4)

Farnoush et al (2014) retrospectively compared BAHA placement with reconstruction of the external auditory canal for children and adolescents with congenital aural atresia or stenosis who were treated at a single institution from 1988 to 2011.(5) Sixty-eight patients were included; 49 underwent external auditory canal reconstruction (EACR) and 19 received a BAHA. Groups differed significantly in terms of age, presence of bilateral atresia, and presence of an associated syndrome. Audiologic data were available for 41 patients. At short-term (<6 months postsurgery) follow-up, the BAHA group (44.3 dB) had larger hearing gains on AC than the EACR group (20.0 dB; p<0.001); similarly, the BAHA group had larger hearing gains at long term (>1 year postsurgery) follow-up (44.5 dB vs 15.3 dB; p<0.001). Quality-of-life scores and requirements for revision surgery did not differ significantly between the groups.

Ramakrishnan et al (2011) retrospectively reviewed bone-anchored and Softband-held conductive hearing aids in 109 children and young adults in a single center.(6) The patient population was unique in that many had craniofacial or genetic syndromes and hearing loss (22/109). Criteria for the selection of the implanted device or the Softband were not described; though authors noted an uneven distribution by age, sex, and syndromic comorbidity. Primary measures were the Glasgow Benefit Inventory or Listening Situation Questionnaire (parent version) administered at least 3 months following hearing aid intervention. Mean overall
Glasgow Benefit Inventory scores were +29 (range, 11-72). Mean Listening Situation Questionnaire score of 17 which was less than a referral cutoff of 22. Based on mean scores, authors concluded that this population benefitted from bone-anchored and Softband-held conductive hearing aids. Conclusions were affected by the heterogeneous patient population, lack of preintervention measures and lack of a controlled comparator group. Other series describing outcomes for pediatric patients treated with bone-anchored devices have reported a benefit in hearing scores, including den Besten et al (2015) in 79 children ages 17 and under.(7)

Older case series have reported patient-reported benefits and satisfaction after BAHA placement.(8,9) Some have suggested that the BAHA improved hearing better than early bone-conducting devices and AC hearing aids,(10,11) and produce acceptable hearing outcomes in individuals unable to tolerate an AC hearing aid.(12,13)

Section Summary: Overall Efficacy of BAHA Devices
The available studies on the use of BAHAs are observational pre-post designs without control groups and cross-sectional comparative studies. Although the study designs were generally weak, in general, use of BAHAs was associated with larger improvements in hearing than conventional nonimplanted bone-conduction hearing devices or unaided hearing. Given the objectively measured outcomes and the largely invariable natural history of hearing loss in individuals who would be eligible for an implantable bone-conduction device, the demonstrated improvements in hearing after device placement are likely attributable to the device.

BILATERAL BAHA DEVICES IN CONDUCTIVE OR MIXED HEARING LOSS
A number of studies have demonstrated a consistent improvement in speech recognition in noise and in sound localization with bilateral devices in conductive or mixed hearing loss.

Janssen et al (2012) conducted a systematic review to assess the outcomes of bilateral versus unilateral BAHA for individuals with bilateral permanent CHL.(14) The literature search included studies in all languages published between 1977 and July 2011. Studies were selected if subjects of any age had permanent bilateral CHL and bilateral implanted BAHAs. Outcome measures of interest were any subjective or objective audiologic measures, quality-of-life indicators, or reports of adverse events. Eleven studies met their inclusion criteria. All were observational. The studies included a total of 168 patients, 155 of whom had BAHAs and 146 of whom had bilateral devices. In most studies, comparisons between unilateral and bilateral BAHA were intrasubject. Heterogeneity of the methodologies between studies precluded meta-analysis, therefore a qualitative review was performed. Results from 3 (of 11) studies were excluded from synthesis because their patients had been included in multiple publications. Adverse events were not an outcome measure of any of the studies. In general, bilateral BAHA provided additional objective and subjective benefit compared with unilateral BAHA. For example, the improvement in tone thresholds associated with bilateral BAHA ranged from 2 to 15 dB, the improvement in speech recognition patterns ranged from 4 to 5.4 dB, and the improvement in the Word Recognition Score ranged from 1% to 8%. These results were based on a limited number of small observational studies consisting of heterogeneous patient groups that varied in age, severity of hearing loss, etiology of hearing loss, and previous amplification experience.

Examples of individual studies include the following. Bosman et al (2001) reported on 25 patients who were using bilateral devices.(15) They found that both speech recognition in

**Section Summary: Bilateral BAHA Devices in Conductive or Mixed Hearing Loss**

The evidence on bilateral versus unilateral BAHAs for individuals with CHL or mixed hearing loss consists of small observational studies with heterogeneous participants. In general, bilateral BAHAs seem to provide additional objective and subjective benefit compared with unilateral BAHAs.

### BAHA DEVICES FOR UNILATERAL SENSORINEURAL HEARING LOSS

Peters et al (2015) reported results from a systematic review of studies comparing BAHA devices with contralateral routing of signal (CROS) systems to hearing aids with contralateral routing of sound for single-sided deafness (SSD). Six studies met eligibility criteria, including 1 randomized controlled trial (RCT) and 3 prospective and 2 retrospective case series, 5 of which were considered to have moderate to high directness of evidence and low to moderate risk of bias. The 5 studies (n=91 patients) with low or moderate risk of bias; they were noted to have significant heterogeneity in the populations included. For speech perception in noise, there was no consistent improvement with aided hearing over unaided hearing in all environments. All studies reported equal sound localization and quality-of-life outcomes for both hearing conditions.

Baguley et al (2006) reviewed the evidence for contralateral BAHAs in adults with acquired unilateral sensorineural hearing loss. None of the 4 controlled trials reviewed showed a significant improvement in auditory localization with the bone-anchored device. However, speech discrimination in noise and subjective measures improved with these devices; the BAHAs resulted in greater improvement than those obtained with conventional AC CROS systems.

Since publication of the Peters systematic review, 2 prospective, interventional studies have compared patient outcomes with transcutaneous BAHA devices to CROS hearing aids for SSD. Leterme et al (2015) assessed 24 adults with SSD, 18 of whom were evaluated with trials of both hearing aids with CROS and bone conduction–assisted hearing using the BAHA Softband. Most patients (72%), after completing trials of both devices, preferred the BAHA device to hearing aids with CROS. Glasgow Benefit Index and Abbreviated Profile of Hearing Aid Benefit (APHAB) scores did not differ significantly between devices. Sixteen of the 18 subjects elected to undergo implantation of a percutaneous BAHA device. In general, hearing improvement with the BAHA Softband trial correlated with hearing improvements following device implantation. Snapp et al (2017) reported a prospective single-center study of 27 patients with unilateral severe-profound sensorineural hearing loss who had either a CROS (n=13) or transcutaneous BAHA (n=14) device. Mean device use was 66 months for the BAHAs and 34 months for CROS devices. Both BAHA and CROS groups had significant improvement in speech-in-noise performance, but neither showed improvement in localization ability. There were no differences between the devices for subjective measures of post-
treatment residual disability or satisfaction as measured by the Glasgow Hearing Aid Benefit Profile (GHABP).

Several centers have reported on findings from observational studies that evaluated the benefits of BAHA for patients with unilateral sensorineural hearing loss, or SSD. Studies representative of this group are described next.

Zeitler et al (2012) reported on a retrospective case series of 180 patients with SSD and residual hearing in the implanted ear who underwent unilateral or bilateral BAHA placement at a U.S. university medical center. Significant improvement was reported in objective hearing measures (speech-in-noise and monosyllabic word tests) following BAHA implantation. Subjective benefits from BAHA varied across patients based on results from the Glasgow Hearing Aid Benefit Profile, but patients with residual hearing in the affected ear tended toward improved satisfaction with their device postoperatively.

Additional series from various countries, with sample sizes ranging from 9 to 145 patients, have reported on outcomes after implantation of BAHA device for SSD. In general, these studies have indicated improvements in patient-reported speech quality, speech perception in noise, and patient satisfaction.

**Section Summary: BAHA Devices for Unilateral Sensorineural Hearing Loss**

Single-arm case series with sample sizes ranging from 9 to 180 patients have generally reported some improvements in patient-reported outcomes after implantation of bone conduction devices, but no improvements in speech recognition or hearing localization. However, in studies with comparators, outcomes for patients with bone-anchored devices were similar to those for patients with hearing aids with CROS.

**BAHA DEVICES IN CHILDREN YOUNGER THAN AGE 5 YEARS**

The BAHA device has been investigated in children younger than 5 years in Europe. Reports have described experiences with preschool children or children with developmental issues that might interfere with device maintenance and skin integrity. A 2-stage procedure may be used in young children. In the first stage, the fixture is placed into the bone and allowed to fully develop osseointegration. After 3 to 6 months, a second procedure is performed to connect the abutment through the skin to the fixture.

The largest series in children under 5 years identified for this review, described by Amonoo-Kuofi et al (2015), included 24 children identified from a single center's prospectively maintained database. Most patients underwent a 2-stage surgical approach. Most patients (52%) received the implant for isolated microtia or Goldenhar syndrome (16%). Following implantation, 13 (54%) patients had grade 2 or 3 local reactions assessed on the Holgers Classification System (redness, moistness, and/or granulation tissue) and 7 (29%) had grade 4 local reactions on this scale (extensive soft-tissue reaction requiring removal of the abutment). Quality of life scores (Glasgow Children’s Benefit Inventory [GCBI]; scoring range, -100 to 100) were obtained in 18 subjects/parents with a finale mean score change of +40 points. Audiologic testing indicated that the average performance of the device fell within the range of normal auditory perception in noisy and quiet environments.

Marsella et al (2012) reported on a single-center experience in Italy with pediatric BAHAs from the inception of their program in 1995 to December 2009. Forty-four children (21 girls, 26
boys) were implanted; 7 of these were younger than 5 years. The functional gain was significantly better with BAHAs than with conventional nonimplanted bone-conduction hearing aids, and there was no significant difference in terms of functional outcome between the 7 younger patients and the rest of the cohort. Based on these findings, the study authors suggested that implantation of children at an age younger than 5 years can be conducted safely and effectively in such settings. Report conclusions are limited by the small number of very young children in the sample and the limited statistical power to detect a difference between younger and older children.

Davids et al (2007) provided BAHA devices to children younger than 5 years of age for auditory and speech-language development and retrospectively compared surgical outcomes for a study group of 20 children younger than 5 years and a control group of 20 older children. Children with cortical bone thickness greater than 4 mm underwent a single-stage procedure. The interstage interval for children having 2-stage procedures was significantly longer in the study group to allow implantation in younger patients without increasing surgical or postoperative morbidity. Two traumatic fractures occurred in the study group versus 4 in the older children. Three younger children required skin site revision. All children were wearing their BAHA devices at the time of writing. McDermott et al (2008) reported on the role of BAHAs in children with Down syndrome in a retrospective case analysis and postal survey of complication rates and quality-of-life outcomes for 15 children aged 2 to 15 years. All used their BAHA devices at a 14-month follow-up. No fixtures were lost; skin problems were encountered in 3 patients. All 15 patients had improved social and physical functioning, attributed to improved hearing.

**Section Summary: BAHA Devices in Children Younger Than Age 5 Years**

There are few data on use of BAHA devices in children younger than 5. Three case series with a total of fewer than 60 children younger than 5 years have reported improvements in QOL after implantation with BAHA devices. One comparative observational study, with 7 children younger than 5, reported significantly better improvement in functional gain with BAHAs than with conventional nonimplanted bone-conduction hearing aids in an analysis including all ages.

**SAFETY AND ADVERSE EVENTS RELATED TO BONE-ANCHORED HEARING AIDS**

In addition to the efficacy literature on the BAHA devices, studies have assessed complications with these devices.

**Systematic Reviews**

Verheij et al (2016) published a systematic review on complications of surgical tissue preservation techniques with percutaneous BAHA devices including 18 studies with 381 devices. The implantation techniques reported in the studies were as follows: punch method, 4 studies (81 implants); linear incision technique without soft tissue reduction, 13 studies (288 implants); and Weber technique, 1 study (12 implants). Indications for surgery were SSD (n=68), sensorineural hearing loss (n=4), mixed hearing loss (n=65), or CHL (n=66). The Holgers classification was used to grade soft tissue reactions (grade 0, no reaction; grade 2, red and moist tissue; grade 3, granulation tissue; grade 4, removal of skin-penetrating implant necessary due to infection). The incidence of Holgers 3 was 2.5% with the punch technique, 5.9% with the linear incision technique, and 0% with the Weber technique. Holgers 4 was reported in 1 patient implanted with the linear incision technique.
Kiringoda and Lustig (2013) reported on a meta-analysis of complications related to BAHA implants. Selected were 20 studies that evaluated complication in 2134 adult and pediatric patients who received a total of 2310 BAHA implants. The quality of available studies was considered poor and lacking in uniformity. Complications related to BAHA implants were mostly minor skin reactions. The incidence of Holgers Classification System grade 2 to 4 skin reactions ranged from 2.4% to 38.1% in all studies. The incidence of failed osseointegration was 0% to 18% in adult and mixed population studies and 0% to 14.3% in pediatric population studies. The incidence of revision surgery was 1.7% to 34.5% in adult and mixed population studies and 0.0% to 44.4% in pediatric population studies. Implant loss ranged from 1.6% to 17.4% in adult and mixed population studies and in 0.0% to 25% in pediatric studies.

**Observational Studies**

Dun et al (2012) assessed soft tissue reactions and implant stability of 1132 percutaneous titanium implants for bone-conduction devices in a retrospective survey of 970 patients undergoing implants between September 1988 and December 2007 at the University Medical Center in the Netherlands. Study investigators also examined device usage and compared different patient age groups (children, adults, elderly patients) over a 5-year follow-up period. Implant loss was 8%. In close to 96% of cases, there were no adverse soft tissue reactions. Significantly more soft tissue reactions and implant failures were observed in children than in adults and elderly patients (p<0.05). Implant survival were lower in patients with than without mental retardation (p=0.001).

Hobson et al (2010) reviewed complications of 602 patients at a tertiary referral center over 24 years and compared their observed rates to those published in 16 previous studies. The overall observed complication rate, 24%. The most common complications were soft tissue overgrowth, skin infection, and fixture dislodgement. The observed rate of surgical revision of 12.1% (73/602) was also similar to previously published rates (weighted mean, 12.7%). Top reasons for revision surgery were identical to observed complications. In 2011, Wallberg et al reported on the status of 150 implants placed between 1977 and 1986 at a mean follow-up of 9 years. Implants were lost in 41 (27%) patients. Reasons for implant loss were: removal (16 patients), osseointegration failure (17 patients), and direct trauma (8 patients). In the remaining 132 patients with implant survival, BAHAs were still being used by 119 (90%) patients at the 9-year follow-up. For children, implant complications were even more frequent, as reported by Kraai et al (2011) in a follow-up evaluation of 27 implants placed in children ages 16 years or younger between 2002 and 2009. In this retrospective report, soft tissue reactions occurred in 24 patients (89%); implant removal or surgical revision was required in 10 (37%) patients; 24 (89%) patients experienced soft tissue overgrowth and infection; and 7 (26%) patients experienced implant trauma. Chronic infection and overgrowth at the abutment prevented use of the implant in 3 (11%) patients.

Allis et al (2014) conducted a prospective observational cohort study with a retrospective historical control to evaluate complication rates of skin overgrowth, infection, and the need for revision surgery associated with a BAHA implant with a longer (8.5-mm) abutment. Twenty-one subjects were treated with the 8.5-mm abutment implant from 2011 to 2012 and were compared with 23 subjects treated with a 5.5-mm abutment implant from 2010 to 2011. Groups were generally similar at baseline, with the exception that patients with the 8.5-mm abutment implant were older (62 years vs 48 years, p=0.012). Patients in the longer abutment group were less likely to experience infection (10% vs 43%; p=0.02), skin overgrowth (5% vs 41%; p=0.007), and need for revision (10% vs 45%; p=0.012), respectively.
Other observational cohort studies, ranging in size from 47-974 subjects, have reported safety- and adverse effects outcomes after BAHA placement. (44-47) Across these studies, implant loss ranged from 4% to 18%.

Different surgical techniques for implanting BAHA devices and specific BAHA designs have yielded better safety outcomes. In a 2016 systematic review of 30 articles on the association between surgical technique and skin complications following BAHA implantation, the dermatome technique (vs a skin graft or linear technique) was linked to more frequent skin complications. (48) Fontaine et al (2014) compared complication rates for 2 BAHA surgical implantation techniques among 32 patients treated from 2004 to 2011. (49) Complications requiring surgical revision occurred in 20% of cases who underwent a skin flap implantation method (n=20) and in 38% of cases who had a skin flap implantation method (n=20) and in 38% of cases who had a full-thickness skin graft implantation method (n=21; p=0.31). Hultcrantz and Lanis (2014) reported shorter surgical times and fewer cases of numbness and peri-implant infections in 12 patients treated with a non-skin-thinning technique, compared with 24 patients treated with a flap or dermatome implantation technique. (50) In a comparison of 2 types of BAHA devices, one with a 4.5-mm diameter implant and a rounded 6-mm abutment (n=25) and one with a 3.75-mm diameter implant and a conically shaped 5.5-mm abutment (n=52), Nelissen et al (2014) reported that implant survival was high for both groups over 3 years of follow-up, although the conically shaped abutment had greater stability. (51) Singam et al (2014) reported results of a BAHA implantation technique without soft tissue reduction in conjunction with a longer device abutment in 30 patients. (52) Twenty-five patients had no postoperative complications. Five subjects developed postoperative skin reactions, of whom 3 required soft tissue reduction. Roplekar et al (2016) compared skin-related complications of the traditional skin flap method to the linear incision method performed by a single surgeon in 117 patients with at least 1 year of follow-up. (53) Twenty-one (24%) patients experienced skin-related complications in the skin flap group (12 skin overgrowths, 8 wound infections, 1 numbness) and 3 (10%) patients experienced complications in the linear incision group (3 wound infections).

**Section Summary: Safety and Adverse Events Related to BAHA Devices**

The quality of available data for adverse events is generally poor with high heterogeneity. The most frequently reported complication from surgical procedures for BAHA insertion are adverse skin reactions, with an incidence of Holgers grade 2 to 4 reactions ranging from less than 2% to more than 34%, and implant loss ranging from less than 2% to more than 17%. There is some evidence of improvement in complication rates and severity with newer surgical techniques such as linear incision.

**PARTIALLY IMPLANTABLE MAGNETIC BAHAS**

A smaller body of literature addresses outcomes associated with transcutaneous, partially implantable bone-anchored devices that magnetically-couple the sound processor with the implant. Similar to the literature available for percutaneous bone-anchored devices, most studies use a within-subjects comparison of hearing thresholds with and without the device. The indications for partially implantable systems are the same as those for transcutaneous bone-anchored devices.

**Prospective Clinical Trials**
Two prospective trials evaluating different transcutaneous systems were identified. Both trials were small (27 and 15 individuals, respectively), but both demonstrated improvements in hearing outcomes.

Briggs et al (2015) reported on a prospective interventional evaluation of the percutaneous, partially implantable Baha Attract system among 27 adults with a conductive or mild mixed hearing loss in the ear to be implanted.(54) The choice of sound processor was based on patient preference and hearing tests with the various sound processors in conjunction with Baha Softband prior to device implantation. All twenty-seven patients enrolled received an implant. Sound processor fitting occurred at 4 weeks postimplantation in all but 1 patient. At 9-month follow-up, pure tone audiometry (PTA; mean of 500, 1000, 2000, and 4000 Hz) was significantly improved with the implant and sound processor compared with unaided hearing (18.4 dB hearing loss; p<0.001). Patients generally showed improvements in speech recognition in noise, although comparing results across test sites was difficult due to different languages and methodologies used for testing speech recognition at each site. Compared with the preoperative unaided state, scores on the abbreviated profile of hearing aid benefit (APHAB) overall score (p=0.038) and reverberation (p=0.016) and background noise (p=0.035) subscales.

Denoyelle et al (2015) reported on a prospective clinical trial of the Sophono device in children aged 5 to 18 years with uni- or bilateral congenital aural atresia with complete absence of the external auditory canal with pure conductive hearing loss.(55) The study included a within-subject comparison of hearing results with the Sophono devices to those obtained with the Baha Softband preoperatively. All fifteen patients enrolled and were implanted (median age, 97 months). At 6-month follow-up, mean aided air-conduction PTA was 33.49 (mean gain, 35.53 dB), with a mean aided sound reception threshold of 38.2 (mean gain, 33.47 dB). The difference in air conduction PTA between the Baha Softband and the Sophono device was 0.6 dB, (confidence interval upper limit, 4.42 dB), which met the study’s prespecified noninferiority margin. Adverse effects were generally mild, including skin erythema in 2 patients, which improved by using a weaker magnet, and brief episodes of pain or tingling in 3 patients.

Nonrandomized Comparative Studies
A limited amount of data is available comparing transcutaneous with percutaneous bone-anchored conduction devices. Hol et al (2013) compared percutaneous Baha implants to partially implantable magnetic transcutaneous bone-conduction hearing implants using the Otomag Sophono device in 12 pediatric patients, (age range, from 5 to 12 years) who had congenital unilateral CHL.(56) Sound field thresholds, speech recognition threshold, and speech comprehension at 65 dB were somewhat better in patients with the Baha implant (n=6) than with the partially implantable hearing device (n=6). Using a skull simulator, output was 10 to 15 dB less with the partially implantable device than with the Baha device. After following the same 12 patients for more than 3 years, Nelissen et al (2016) reported on soft tissue tolerability, hearing results, and sound localization abilities.(57) Two patients in each group had stopped using their hearing devices. Soft tissue tolerability with the Sophono was favorable compared to Baha. Both groups showed improvements in sound localization compared to the unaided situation. Aided thresholds with the Sophono were not as good as expected, with a mean pure-tone average of about 30 dB hearing loss; ideally aided thresholds should be 10 to 20 dB hearing loss.
Iseri et al (2015) described a retrospective, single-center study from Turkey comparing 21 patients treated with a transcutaneous, fully implantable BAHA with 16 patients treated with a percutaneous device (the Baha Attract).(58) Groups were generally similar at baseline, with most individuals undergoing BAHA placement for chronic otitis media. Operating time was longer in patients treated with the transcutaneous partially implantable devices (46 minutes vs 26 minutes, p<0.05). Three patients treated with percutaneous devices had Holger grade 2 skin reactions, and 2 had stopped using their devices for reasons unrelated to skin reactions. Mean thresholds for frequencies 0.5 to 4.0 kHz were 64.4 dB without the BAHA and 31.6 dB with the BAHA in the percutaneous device group, and 58.3 dB without the BAHA and 27.2 dB with the BAHA in the transcutaneous device group. Frequency-specific threshold hearing gains did not differ significantly between groups. Mean hearing gain measured by speech reception threshold was statistically significantly smaller in the percutaneous group (24 dB vs 36.7 dB, p=0.02).

Gerdes et al (2016) published a retrospective single-center study comparing 10 patients who had CHL and received the transcutaneous Bonebridge device with an audiologically matched control group of 10 patients who received the percutaneous BAHA BP100.(59) There were similar significant improvements in aided thresholds, word recognition scores, and speech reception thresholds in noise for both devices. There were also no differences in subjective ratings for the APHAB scale. Mean functional gain was slightly higher (27.5 dB) for transcutaneous than for percutaneous (26.3 dB), but not significantly different.

Observational Studies
A moderately-sized body of observational studies – most of which are single center and with fewer than 10 patients – has reported outcomes for transcutaneous, partially-implantable hearing systems. These studies are briefly described here to provide an overview of the functional gain and complications seen with the transcutaneously-coupled devices.

Dimitriadis et al (2016) reported a systematic review of observational studies of the BAHA Attract device including 10 studies (total N=89 patients; range, 1-27 patients).(60) Seventeen (19%) of the patients were children, of whom 5 had unilateral sensorineural hearing loss and 4 had CHL. Of the 27 (45%) adults, 22 had unilateral sensorineural hearing loss and 11 (18%) had bilateral mixed hearing loss. Audiologic and functional outcome measures and the timing of testing varied greatly in the studies. Summary measures were not reported. In general, audiologic and functional outcomes measured pre- and postimplantation showed improvement, although statistical comparisons were lacking in some studies.

Reddy-Kolanu et al (2016) reported on complications of the BAHA Attract (n=34) from a case series that included all patients implanted in a single center between 2013 and 2015.(61) Patients ranged in age from 8 to 64 years, and follow-up ranged from 3 to 20 months. Twenty-three patients had no significant postoperative problems. Five patients required an alteration in magnet strength primarily due to implant site tenderness. One patient reported distressing tinnitus; 1 had the implant changed to an abutment system due to infection; and 1 had the magnet removed following trauma to the implant site. One patient has ongoing psoriasis problems. Two patients were converted to a newer, lighter sound processor.

In an early (2011) study, Seigert reported on the use of a transcutaneous, partially implantable bone-conduction hearing system (Otomag).(62) Among 12 patients who received the system, there were average hearing gains of 31.2 dB in free-field pure-tone audiogram. The free-field
suprathreshold speech perception at 65 dB increased from 12.9% preimplantation to 72.1% postimplantation.

Powell et al (2015) reported outcomes from a retrospective study, including 6 patients treated with the Otomag Sophono device and 6 treated with the BAHA Attract device.(63) Ten subjects were identified as the primary author’s patients and the remaining were identified through an Australian national hearing database. In the BAHA Attract group, mean air conduction thresholds across 4 frequencies (0.5, 1, 2, and 4 kHz) improved from 60.8 dB in the unaided state to 30.6 dB in the aided state. In the Sophono group, the mean 4-frequency AC thresholds improved from 57.8 dB in the unaided state to 29.8 dB in the aided state. Speech discrimination in noise scores did not differ significantly between devices.

O’Niel et al (2014) reported outcomes for 10 pediatric patients with CHL treated with the Otomag Sophono device at a single center.(64) Fourteen ears were implanted with no surgical complications. The skin complication rate was 35.7%, including skin breakdown (n=2) and pain and erythema (n=5); negative outcomes resulted in 5 (36%) of 14 ears having sufficient difficulties to discontinue device use for a period. Mean aided pure-tone average (PTA) was 20.2 dB hearing level, with a mean functional gain of 39.9 dB hearing level. Patients without skin complications consistently used their devices (average daily use, of 8-10 hours).

Centric et al (2014) also reported on outcomes for 5 pediatric patients treated with the Otomag Sophono device at a single center.(65) Etiologies of hearing loss were heterogeneous and included bilateral moderate or severe CHL and unilateral sensorineural hearing loss. Average improvement in PTA was 32 dB hearing level, and the average improvement in speech response threshold was 28 dB hearing level. All patients were responding in the normal to mild hearing loss range in the implanted ear after device activation. In a follow-up study from the same institution, Baker et al (2015) reported pooled outcomes for the first 11 patients treated with the Otomag Sophono and the first 6 patients treated with the Baha Attract.(66) Pre- and postimplant audiological data were available for 11 ears in the Sophono group and 5 in the Baha Attract group. Average improvement over all frequencies ranged from 24 to 43 decibel hearing level (dB HL) in the Sophono group and 32 to 45 dB HL in the Baha Attract group. Average improvement in PTA was 38 dB HL in the Sophono group and 41 dB HL in the Baha Attract group.

Other single-center observational series have described clinical experience with transcutaneous partially implantable BAHA devices. Marsella et al (2014) reported outcomes for 6 pediatric patients treated with the Otomag Sophono device for conductive or mixed hearing loss.(67) Median improvement in PTA was 33 dB HL and median free-field PTA (0.5-3 kHz) with the device was 32.5 dB HL. Magliulo et al (2015) reported outcomes for 10 patients treated with the Otomag Sophono device after subtotal petrosectomy for recurrent chronic middle ear disease, a procedure associated with a conductive hearing loss of 50 to 60 dB.(68) Postsurgery with the Sophono device, there was an average acoustic improvement in AC of 29.7 dB, which was significantly better than the improvement seen with traditional AC hearing aids (18.2 dB).

In addition to studies of partially implantable bone-conduction devices currently approved by the Food and Drug Administration, a number of case series were identified, evaluated the Bonebridge implant, which is not currently cleared for marketing in the United States. Case series with at least 5 patients are summarized in Table 1.
Section Summary: Partially Implantable Magnetic BAHA Devices

Studies of transcutaneous, partially implantable BAHAs have typically used a retrospective within-subjects comparison of hearing thresholds with and without the device, although there have been 2 small (27 and 15 participants) prospective studies. There was heterogeneity in the audiologic and functional outcome measures used in the studies and the timing of testing. Studies of partially implantable BAHAs have generally demonstrated within-subjects improvements in hearing.

SUMMARY OF EVIDENCE

For individuals who have conductive or mixed hearing loss who receive an implantable bone-anchored hearing devices with a percutaneous abutment or a partially-implantable bone-anchored hearing device with transcutaneous coupling to the sound processor, the evidence includes observational studies that report pre-post differences in hearing parameters after treatment with BAHA. Relevant outcomes are functional outcomes, quality of life, and
treatment-related morbidity. No prospective trials were identified. Observational studies reporting on within-subjects changes in hearing have generally reported hearing improvements with the devices. Given the objectively measured outcomes and the largely invariable natural history of hearing loss in individuals who would be eligible for an implantable bone-conduction device, the demonstrated improvements in hearing after device placement can be attributed to the device. Studies of partial-implantable bone-anchored devices similarly demonstrate within-subjects improvements in hearing. The single-arm studies have shown improvements in hearing in the device-aided state. No direct comparisons other than within-individual comparisons with external hearing aids were identified, but, for individuals unable to wear an external hearing aid, there may be few alternative treatments. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have unilateral sensorineural hearing loss who receive a fully- or partially-implantable bone-anchored hearing devices with contralateral routing of signal, the evidence includes 1 randomized controlled trial (RCT), multiple prospective and retrospective case series, and a systematic review. Relevant outcomes include functional outcomes, quality of life, and treatment-related morbidity. Single arm case series, with sample sizes ranging from 9 to 180 patients, generally have reported improvements in patient-reported speech quality, speech perception in noise, and satisfaction with bone conduction devices with contralateral routing of signal. However, a well-conducted systematic review of studies comparing bone anchored devices to hearing aids with contralateral routing of signal found no evidence of improvement in speech recognition or hearing localization. The single RCT included in the systematic review was a pilot study enrolling only 10 patients, and therefore, does not provide definitive evidence. The evidence is insufficient to determine the effects of the technology on health outcomes.

**ONGOING AND UNPUBLISHED CLINICAL TRIALS**
Some currently unpublished trials that might influence this review are listed in Table 2.

<table>
<thead>
<tr>
<th>NCT No.</th>
<th>Trial Name</th>
<th>Planned Enrollment</th>
<th>Completion Date</th>
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<tr>
<td>NCT02022085*</td>
<td>Post-market Clinical Follow-up of a Magnetic Bone Conduction Implant (Cochlear Baha Attract System)</td>
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<td>NCT01858246</td>
<td>A Randomised Controlled Trial Comparing Bone Anchored Hearing Aid With Bonebridge</td>
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<td><strong>Unpublished</strong></td>
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<tr>
<td>NCT02092610*</td>
<td>Long Term Stability, Survival and Tolerability of a (Novel) Baha® Implant System</td>
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<td>NCT01264510</td>
<td>The Evaluation of the Effectiveness of Bone-anchored Hearing Aids (Baha) in Patients With Conductive or Mixed Hearing Loss, or Unilateral Deafness</td>
<td>150</td>
<td>Aug 2015 (completed)</td>
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</table>

NCT: national clinical trial.

* Denotes industry-sponsored or cosponsored trial.
Supplemental Information

CLINICAL INPUT RECEIVED FROM PHYSICIAN SPECIALTY SOCIETIES AND ACADEMIC MEDICAL CENTERS
In response to requests, BCBSA received input from 3 academic medical centers and 2 specialty societies, one of which provided 4 responses and one of which provided 3 responses. Clinical input was focused on the categorization of partially-implantable bone-anchored devices relative to fully-implantable devices. There was strong consensus that partially-implantable devices are considered an evolution of earlier devices, and that direct trials comparing the 2 are not necessary.

PRACTICE GUIDELINES AND POSITION STATEMENTS
In 2016, the American Academy of Otolaryngology-Head and Neck Surgery updated its position statement on the use of implantable hearing devices.(77) It states that the Academy "considers bone conduction hearing devices, including implantation of a percutaneous or transcutaneous device and use of a bone conduction oral appliance or bone conduction scalp device to be acceptable, and in many cases preferred, procedures in the treatment of conductive or mixed hearing loss and single-sided deafness when performed by a qualified otolaryngologist-head and neck surgeon."

U.S. PREVENTIVE SERVICES TASK FORCE RECOMMENDATIONS
Not applicable.

Government Regulations
National/Local
There is no national or local coverage determination.

There is no national coverage determination. The Medicare Benefit Policy Manual references hearing aids and auditory implants, stating that hearing aids are excluded from coverage.(78) However, devices producing the perception of sound by replacing the function of the middle ear, cochlea, or auditory nerve are payable by Medicare as prosthetic devices. These devices are indicated only when hearing aids are medically inappropriate or cannot be used. Along with cochlear and auditory brainstem implants, the benefit manual specifically refers to osseointegrated implants as prosthetic devices. In 2014, Medicare clarified its hearing aid coverage to state that "certain auditory implants, including cochlear implants, brain stem implants, and osseointegrated implants, do not meet the definition of hearing aids that are excluded from coverage."(79)

(The above Medicare information is current as of the review date for this policy. However, the coverage issues and policies maintained by the Centers for Medicare & Medicaid Services [CMS, formerly HCFA] are updated and/or revised periodically. Therefore, the most current CMS information may not be contained in this document. For the most current information, the reader should contact an official Medicare source.)
Related Policies

- Cochlear Implants
- Semi-Implantable and Fully Implantable Middle Ear Hearing Aids

References


47. den Besten CA, Nelissen RC, Peer PG, et al. A retrospective cohort study on the influence of comorbidity on soft tissue reactions, revision surgery, and implant loss in


The articles reviewed in this research include those obtained in an Internet based literature search for relevant medical references through April 15, 2018, the date the research was completed.
<table>
<thead>
<tr>
<th>Policy Effective Date</th>
<th>BCBSM Signature Date</th>
<th>BCN Signature Date</th>
<th>Comments</th>
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<td>11/1/08</td>
<td>8/19/08</td>
<td>10/28/08</td>
<td>Routine maintenance, added bilateral implants to inclusionary guidelines, description/background simplified. References updated.</td>
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<td>5/3/11</td>
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<td>6/12/12</td>
<td>6/19/12</td>
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<td>2/17/15</td>
<td>2/27/15</td>
<td>Routine maintenance; added “aged 5 years and older” to Inclusion section (to reflect FDA guidelines); exclusion added to MPS and Exclusion section for partially implantable bone-conduction hearing systems (e.g. Otomag® and BAHAtm Attract); Description and Rationale sections revised; references updated</td>
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<tr>
<td>3/1/17</td>
<td>12/13/16</td>
<td>12/13/16</td>
<td>“Fully and partially”- added to MPS statement: Unilateral or bilateral fully- or partially-implantable bone-conduction* (bone-anchored) hearing aid(s) may be necessary</td>
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<td>L8692 removed as it is NOT for implantable device</td>
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Next Review Date: 4th Qtr, 2019
BLUE CARE NETWORK BENEFIT COVERAGE
POLICY: IMPLANTABLE BONE-CONDUCTION AND BONE-ANCHORED HEARING DEVICES

I. Coverage Determination:

<table>
<thead>
<tr>
<th>Coverage Type</th>
<th>Details</th>
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<tbody>
<tr>
<td><strong>Commercial HMO</strong> (includes Self-Funded groups unless otherwise specified)</td>
<td>Covered, criteria apply. Hearing aid rider is required. The BAHA Headband or Softband device is not a bone-anchored hearing device. It is a bone-conduction hearing aid, most often used in children, which is worn until the patient is able to undergo the bone-anchored surgical procedure. This device is a hearing aid and coverage requires a hearing aid rider.</td>
</tr>
<tr>
<td><strong>BCNA (Medicare Advantage)</strong></td>
<td>Refer to the Medicare information under the Government Regulations section of this policy.</td>
</tr>
<tr>
<td><strong>BCN65 (Medicare Complementary)</strong></td>
<td>Coinsurance covered if primary Medicare covers the service.</td>
</tr>
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</table>

II. Administrative Guidelines:

- The member's contract must be active at the time the service is rendered.
- Coverage is based on each member’s certificate and is not guaranteed. Please consult the individual member’s certificate for details. Additional information regarding coverage or benefits may also be obtained through customer or provider inquiry services at BCN.
- The service must be authorized by the member's PCP except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Services must be performed by a BCN-contracted provider, if available, except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Payment is based on BCN payment rules, individual certificate and certificate riders.
- Appropriate copayments will apply. Refer to certificate and applicable riders for detailed information.
- CPT - HCPCS codes are used for descriptive purposes only and are not a guarantee of coverage.
- Duplicate (back-up) equipment is not a covered benefit.