# **Medical Policy**



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Title: Postsurgical Home Use of Limb Compression Devices for Venous Thromboembolism Prophylaxis

# **Description/Background**

#### RISK OF VENOUS THROMBOEMBOLISM

# **Orthopedic Surgery**

Antithrombotic prophylaxis is recommended for surgical individuals at moderate-to-high risk of postoperative venous thromboembolism (VTE), including deep vein thrombosis (DVT) and pulmonary embolism (PE), based on the surgical procedure and/or individual characteristics. For some types of surgery, such as major orthopedic surgery, there is a particularly high risk of VTE due to the nature of the procedure and the prolonged immobility during and after surgery. The specific orthopedic procedures of concern are total knee arthroplasty, total hip arthroplasty, and hip fracture surgery. For these surgeries, all individuals undergoing the procedure are considered at high risk for VTE.

Other surgeries with an increased risk of VTE include abdominal surgery, pelvic surgery, cancer surgery, and surgery for major trauma. For these types of surgeries, the risk varies. There are numerous individual-related risk factors such as increasing age, prior VTE, malignancy, pregnancy, and significant comorbidities that can be used in conjunction with the type of surgery to determine risk. There are tools for assessing VTE risk in surgical individuals, such as the modified Caprini Risk Assessment Model used in developing the 2012 American College of Chest Physicians (ACCP) guidelines on VTE prevention. However, in clinical practice, this and similar instruments are not regarded as definitive for assessment of individual risk. Pharmacologic prophylaxis is indicated for individuals at moderate-to-high risk for VTE. As described in the ACCP guidelines, there are preferred antithrombotic prophylaxis regimens according to procedure and individual risk characteristics.(2,3)

# Pharmacologic Prophylaxis

Pharmacologic prophylaxis is effective at reducing postoperative VTE, but also has risks. The main risk is bleeding, although other adverse effects such as allergic reactions and development of heparin antibodies can occur. Contraindications to pharmacologic prophylaxis include previous intolerance to these agents and increased risk of bleeding. Most individuals undergoing major surgery will not have an increased risk of bleeding precluding use of anticoagulants, because these individuals would also likely have had a contraindication to the surgery itself and, thus, are likely to avoid the procedure. However, there are some cases in which individuals with a high bleeding risk will undergo major surgery, such as individuals with severe renal failure who require an essential procedure. Other individuals may develop contraindications during the episode of care. For example, individuals who have excessive bleeding during or after surgery, or individuals who develop bleeding complications such as a gastrointestinal bleed, are considered to have a contraindication to anticoagulants. There are a few surgeries for which anticoagulants are contraindicated or avoided, most notably some neurosurgery procedures. Assessment and quantitation of bleeding risk can be performed using instruments such as the HAS-BLED scoring system,(3) although these tools were not developed specifically for the postoperative period.

Major orthopedic surgeries have high risk of DVT due to venous stasis of the lower limbs as a consequence of immobility during and after surgery. Also, direct venous wall damage associated with the surgical procedure itself may occur. DVTs are frequently asymptomatic and generally resolve when mobility is restored. However, some episodes of acute DVT can be associated with substantial morbidity and mortality. The most serious adverse consequence of acute DVT is PE, which can be fatal. PE occurs when a DVT blood clot detaches and migrates to the lungs. Also, DVT may produce long-term vascular damage that leads to chronic venous insufficiency. Without thromboprophylaxis, the incidence of venographically detected DVT is approximately 42% to 57% after total hip replacement, and the risk of PE is approximately 1% to 28%.(5) Other surgical individuals may be at increased risk of VTE during and after hospitalization. For example, it is estimated that rates of VTE without prophylaxis after gynecologic surgery are 15% to 40%.(6)

Thus, antithrombotic prophylaxis is recommended for individuals, undergoing major orthopedic surgery and other surgical procedures, who are at increased risk of VTE. For individuals undergoing major orthopedic surgery, 2012 clinical practice guidelines published by ACCP recommended that one of several pharmacologic agents or mechanical prophylaxis be provided rather than no thromboprophylaxis.(2) The guidelines further recommend the use of pharmacologic prophylaxis during hospitalization, whether or not individuals are using a limb compression device. A minimum of 10 to 14 days of prophylaxis is recommended, a portion of which can be post-discharge home use.

#### **Limb Compression Prophylaxis**

The ACCP guidelines have also noted that compliance is a major issue with home use of limb compression devices for thromboprophylaxis and recommend that, if this prophylactic option is selected, use should be limited to portable, battery-operated devices. Moreover, AACP recommended that devices be used for 18 hours per day. A 2009 non-randomized study found that there was better compliance with a portable battery-operated limb compression device than with a non-mobile device when used by individuals in the hospital following hip or knee replacement surgery.(7)

# **Nonorthopedic Surgery**

# Pharmacologic and Limb Compression Prophylaxis

The ACCP (2012) also issued guidelines on VTE prophylaxis in non-orthopedic surgery individuals.(3) For individuals undergoing general or abdominal-pelvic surgery who have a risk of VTE of 3% or higher, the ACCP has recommended prophylaxis with pharmacologic agents or intermittent pneumatic compression (IPC) rather than no prophylaxis. For individuals at low risk for VTE (about 1.5%), the guidelines have suggested mechanical prophylaxis. Unlike the guidelines on major orthopedic surgery, which recommended a minimum of 10-14 days of VTE prophylaxis, the guidelines on nonorthopedic surgery individuals do not include a general timeframe for prophylaxis. They have, however, defined "extended duration" pharmacologic prophylaxis as lasting 4 weeks; the latter is recommended only for individuals at high risk for VTE, undergoing abdominal or pelvic surgery for cancer, and who are not otherwise at high risk for major bleeding complications.

National clinical guidelines have not specifically recommended use of limb compression devices in the post-discharge home setting. However, given the availability of portable, battery-operated devices, there is interest in home use of outpatient limb compression devices for VTE prevention following discharge from the hospital for major orthopedic and nonorthopedic surgery.

# **Regulatory Status**

A large number of pneumatic and peristaltic limb compression devices have been cleared for marketing by the U.S. Food and Drug Administration (FDA) through the 501(k) process for indications including prevention of deep vein thrombosis. A sample of portable devices cleared by FDA include:

- AIROS 6 Sequential Compression Device (AIROS Medical, Inc.): This device is safe for both home and hospital use.
- Plexus RP100 Disposable Portable Deep Vein Thrombosis Prevention Device (Alleva Medical (D.G.) Ltd: This device is for home or clinical settings and is powered by an internal rechargeable battery.
- AeroDVx<sup>TM</sup> System (Sun Scientific Inc): This device is for hospital or outpatient use.
- VenaPro™ Vascular Therapy System (InnovaMed Health, San Antonio, TX): This device is battery-powered.
- Venowave™ VW5 (Venowave): This device is battery-powered and strapped to the leg below the knee.
- ActiveCare®+SFT System (Medical Compression Systems): The device applies sequential
  pneumatic compression to the lower limb; it has the option of being battery-operated. Foot
  compression is achieved with use of a single-celled foot sleeve. Calf and thigh compression
  requires the use of a 3-celled cuff sleeve.
- Restep® DVT System (Stortford Medical): This lightweight device uses single chamber pressure cuffs attached to the individual's lower legs.
- Kendall SCD<sup>™</sup> 700 Sequential Compression System (Covidien): This pneumatic compression device can be used in the clinic or at home. It has a battery-powered option.
- PlasmaFlow™ (ManaMed): This system is portable, to be used at home or in a clinical setting.

A full listing of products cleared by the FDA can be found at the following link: <u>510(k)</u> Premarket Notification (fda.gov).

# **Medical Policy Statement**

The safety and effectiveness of postsurgical home limb compression devices for venous thromboembolism prophylaxis have been established. It may be considered a useful therapeutic option when clinical criteria are met.

# **Inclusionary and Exclusionary Guidelines**

#### Inclusions:

Postsurgical home use of limb compression devices for venous thromboembolism (VTE) prophylaxis is indicated when **one** of the following criteria are met:

- After major orthopedic procedures<sup>a</sup> in individuals with a contraindication<sup>b</sup> to anticoagulant and antiplatelet agents (i.e., at high-risk for bleeding);
- After major non-orthopedic<sup>c</sup> or other orthopedic procedures in individuals who are at moderate <u>or</u> high risk of venous thromboembolism (see Tables IE 1 & 2) with a contraindication<sup>b</sup> to anticoagulant and antiplatelet agents (i.e., at high-risk for bleeding);
- After major orthopedic<sup>a</sup> or major non-orthopedic procedures<sup>c</sup>, as an adjunct to anticoagulant and/or antiplatelet therapy, in individuals who are at <u>extremely high risk<sup>d</sup></u> for venous thromboembolism

# **Exclusions:**

- Individuals who are at low-risk of venous thromboembolism.
- Home use of limb compression devices for venous thromboembolism prophylaxis for periods longer than 30 days post-surgery.
- <sup>a</sup> Examples include: *Major orthopedic surgery includes total hip arthroplasty, total knee arthroplasty, or hip fracture surgery.*
- <sup>b</sup> The main contraindication to anticoagulants is a high risk of bleeding. However, there is no absolute threshold at which anticoagulants cannot be used. Rather, there is a risk-benefit continuum that takes into account the benefits of treatment and risks of bleeding. There may also be intolerance to specific agents, although uncommon. Intolerance may result from allergic reactions or adverse events. Finally, when heparin preparations are used, serum antibodies and heparin-induced thrombocytopenia can develop, precluding further use of heparin products.
- <sup>c</sup> Examples of major non-orthopedic surgery may include: urological, abdominal, pelvic, neurological, and extensive trauma procedures.

<sup>&</sup>lt;sup>d</sup> Individuals older than 60 years plus prior VTE, cancer or molecular hypercoagulable state.

Table IE 1. Caprini Score to Assess Risk of Venous Thromboembolism			
Points	Risk factors		
1	Age 41–60 years		
	Minor surgery		

BMI greater than 25 kg/m<sup>2</sup>

Swollen legs

Varicose veins

Pregnancy or postpartum state

History of unexplained or recurrent pregnancy losses (greater than 3)

Oral contraceptive, hormone replacement, or selective estrogen receptor modulator use\*

Sepsis (less than 1 month)

Serious lung disease, including pneumonia (less than 1 month)

Abnormal pulmonary function

Acute myocardial infarction

Congestive heart failure (less than 1 month)

History of inflammatory bowel disease

Medical individual on bed rest

2 Age 61–74 years

Major open surgery (greater than 45 minutes)

Laparoscopic surgery (greater than 45 minutes)

Malignancy

Confined to bed (greater than 72 hours)

Central venous access

3 Age 75 years or older

History of VTE

Family history of VTE

Factor V Leiden

Prothrombin 20210A

Lupus anticoagulant

Anticardiolipin antibodies

Elevated serum homocysteine

Heparin-induced thrombocytopenia

Other congenital or acquired thrombophilia

5 Stroke (less than 1 month)

Elective arthroplasty

Hip, pelvis, or leg fracture

Acute spinal cord injury (less than 1 month)

BMI: body mass index; VTE: venous thromboembolism.

Table IE 2. Caprini Score Converted to Risk Level for Venous Thromboembolism

Risk of Symptomatic VTE	Caprini Score	
Low (~ 1.5%)	1-2 points	
Moderate (~ 3.0%)	3-4 points	
High (~6.0%)	5 or greater points	

**CPT/HCPCS Level II Codes** (Note: The inclusion of a code in this list is not a guarantee of coverage. Please refer to the medical policy statement to determine the status of a given procedure.)

#### Established codes:

E0650	E0651	E0652	E0655	E0656	E0657
E0660	E0665	E0666	E0667	E0668	E0669
E0670	E0671	E0672	E0673	E0676	

# Other codes (investigational, not medically necessary, etc.):

N/A

# **Policy Guidelines**

# **Guidance on Determining High Risk for Bleeding**

The American College of Chest Physicians (ACCP) guidelines on prevention of VTE in orthopedic surgery individuals listed the following general risk factors for bleeding

- "Previous major bleeding (and previous bleeding risk similar to current risk)
- Severe renal failure
- · Concomitant antiplatelet agent
- Surgical factors: a history of or difficult-to-control surgical bleeding during the current operative procedure, extensive surgical dissection, and revision surgery."

The guidelines indicated, however, that "...specific thresholds for using mechanical compression devices or no prophylaxis instead of anticoagulant thromboprophylaxis have not been established."

The 2016 ACCP guidelines addressing antithrombotic therapy for VTE disease outlined risk factors for bleeding with anticoagulant therapy and estimated the risks of major bleeding for individuals in various risk categories (see Table PG1)

Risk factors include (1 point per risk factor):

- Age >65 y
- Age >75 y
- Previous bleeding
- Cancer
- Metastatic cancer
- Renal failure
- Liver failure
- Thrombocytopenia
- Previous stroke
- Diabetes
- Anemia
- Antiplatelet therapy
- Poor anticoagulant control
- Comorbidity and reduced functional capacity
- Recent surgery
- Alcohol abuse
- Nonsteroidal anti-inflammatory drug.

Table PG1. Guidelines for Risk of Bleeding

П	_			
Risk Factors	Estimated Absolute Risk of Major Bleeding			
	Low Risk (0 Risk	Moderate Risk (1 Risk	High Risk (≥2 Risk	
	Factors)	Factor)	Factors)	
Anticoagulation 0-3 mo, %	·	ŕ	ŕ	
Baseline risk	0.6	1.2	4.8	
Increased risk	1.0	2.0	8.0	
Total risk	1.6	3.2	12.8	
Anticoagulation after first 3 mo, %/y				
Baseline risk	0.3	0.6	≥2.5	
Increased risk	0.5	1.0	≥4.0	

Adapted from Kearon et al (2016).

Clinical guidelines from the American Academy of Orthopaedic Surgeons (AAOS) have indicated that:

"Individuals undergoing elective hip or knee arthroplasty are at risk for bleeding and bleeding-associated complications. In the absence of reliable evidence, it is the opinion of this work group that individuals be assessed for known bleeding disorders like hemophilia and for the presence of active liver disease which further increase the risk for bleeding and bleeding-associated complications. (Grade of Recommendation: Consensus) Current evidence is not clear about whether factors other than the presence of a known bleeding disorder or active liver disease increase the chance of bleeding in these individuals and, therefore, the work group is unable to recommend for or against using them to assess an individual's risk of bleeding. (Grade of Recommendation: Inconclusive)"

#### **Guidance on Duration of Use**

In individuals with contraindications to pharmacologic prophylaxis who are undergoing major orthopedic surgery (total hip arthroplasty, total knee arthroplasty, hip fracture surgery), ACCP guidelines are consistent with use of intermittent limb compression devices for 10 to 14 days after surgery. The ACCP suggestion on extended prophylaxis (up to 35 days) was a weak recommendation that did not mention limb compression devices as an option.

In the ACCP guidelines on VTE prophylaxis in individuals undergoing nonorthopedic surgery, the standard duration or "limited duration" of prophylaxis was not defined. However, "extended duration" pharmacologic prophylaxis was defined as 4 weeks, which was recommended only for individuals at high risk of VTE undergoing abdominal or pelvic surgery for cancer and not otherwise at high risk for major bleeding complications.

# **Guidance on Determining Risk Level for Nonorthopedic Surgery**

The ACCP guidelines on prevention of VTE in nonorthopedic surgery individuals included the following discussion of risk levels:

"In individuals undergoing general and abdominal-pelvic surgery, the risk of VTE varies depending on both individual-specific and procedure-specific factors. Examples of relatively low-risk procedures include laparoscopic cholecystectomy, appendectomy, transurethral prostatectomy, inguinal herniorrhaphy, and unilateral or bilateral mastectomy. Openabdominal and open-pelvic procedures are associated with a higher risk of VTE. VTE risk appears to be highest for individuals undergoing abdominal or pelvic surgery for cancer....

Individual-specific factors also determine the risk of VTE, as demonstrated in several relatively large studies of VTE in mixed surgical populations. Independent risk factors in these studies include: age > 60 years, prior VTE, and cancer; age  $\geq$  60 years, prior VTE, anesthesia  $\geq$  2 h, and bed rest  $\geq$  4 days; older age, male sex, longer length of hospital stay, and higher Charlson comorbidity score; and sepsis, pregnancy or postpartum state, central venous access, malignancy, prior VTE, and inpatient hospital stay > 2 days. In another study, most of the moderate to strong independent risk factors for VTE were surgical complications, including urinary tract infection, acute renal insufficiency, postoperative transfusion, perioperative myocardial infarction, and pneumonia."

The American College of Obstetricians and Gynecologists use the Caprini Risk Assessment Model to determine VTE risk level in individuals undergoing major gynecology surgery (see

Table PG2); this tool was used in developing the ACCP guidelines on VTE prevention. Caprini scores of 1 to 2, 3 to 4, and 5 or higher indicate a low (1.5%), moderate (~3%), and high (~6%) risk of symptomatic VTE, respectively. The Caprini score is extensively used and has been validated in plastic surgery individuals and general surgery individuals, and the ACCP has defined each of these risk groups by the expected rate of VTE in a population of individuals undergoing general, abdominal-pelvic, bariatric, vascular, and plastic surgery without thromboprophylaxis.

Table PG2. Caprini Score to Assess Risk of Venous Thromboembolism

Points	Risk factors
1	Age 41–60 years Minor surgery BMI greater than 25 kg/m² Swollen legs Varicose veins Pregnancy or postpartum state History of unexplained or recurrent pregnancy losses (greater than 3) Oral contraceptive, hormone replacement, or selective estrogen receptor modulator use* Sepsis (less than 1 month) Serious lung disease, including pneumonia (less than 1 month) Abnormal pulmonary function Acute myocardial infarction Congestive heart failure (less than 1 month) History of inflammatory bowel disease Medical individual on bed rest
2	Age 61–74 years Major open surgery (greater than 45 minutes) Laparoscopic surgery (greater than 45 minutes) Malignancy Confined to bed (greater than 72 hours) Central venous access
3	Age 75 years or older History of VTE Family history of VTE Factor V Leiden Prothrombin 20210A Lupus anticoagulant Anticardiolipin antibodies Elevated serum homocysteine Heparin-induced thrombocytopenia Other congenital or acquired thrombophilia
5	Stroke (less than 1 month) Elective arthroplasty Hip, pelvis, or leg fracture Acute spinal cord injury (less than 1 month)

Adapted from Gould et al (2012).

BMI: body mass index; VTE: venous thromboembolism.

# **Rationale**

# MODERATE-TO-HIGH POSTSURGICAL RISK OF VENOUS THROMBOEMBOLISM AND NO CONTRAINDICATION TO PHARMACOLOGIC PROPHYLAXIS

# **Clinical Context and Therapy Purpose**

The purpose of home use of a limb compression device as an adjunct to anticoagulation is to provide a treatment option that is an alternative to or an improvement on existing therapies, such as anticoagulation only, in individuals with moderate-to-high postsurgical risk of VTE and no contraindication to pharmacologic prophylaxis.

The following PICOs were used to select literature to inform this review.

#### **Populations**

The relevant population of interest are individuals with moderate-to-high postsurgical risk of VTE and no contraindication to pharmacologic prophylaxis.

#### Interventions

The therapy being considered is home use of a limb compression device as an adjunct to anticoagulation.

#### **Comparators**

Comparators of interest include anticoagulation only. Treatments include an anticoagulation regimen, and conventional therapy.

#### **Outcomes**

The general outcomes of interest are overall survival (OS), symptoms, morbid events, and treatment-related morbidity.

The existing literature evaluating home use of a limb compression device as an adjunct to anticoagulation as a treatment for moderate-to-high postsurgical risk of VTE and no contraindication to pharmacologic prophylaxis has varying lengths of follow-up. While studies described below all reported at least one outcome of interest, longer follow-up was necessary to fully observe outcomes.

# **Study Selection Criteria**

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs.
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

#### **Review of Evidence**

This section focuses on evidence that post-discharge use of limb compression devices (commonly referred to in the literature as intermittent pneumatic compression [IPC] devices) in addition to pharmacologic agents provide an incremental benefit to the net health outcome compared with pharmacologic agents alone. The ideal study to address individuals with moderate-to-high postsurgical risk of venous thromboembolism (VTE) and no contraindication to pharmacologic prophylaxis is a superiority-randomized controlled trial (RCT) comparing venous thromboembolism (VTE) prophylaxis consisting of pharmaceutical agents plus home use of limb compression devices with pharmacologic agents alone. No RCTs with this study design were identified for individuals discharged after major orthopedic surgery or other types of major surgery. There are, however, RCTs and meta-analyses of RCTs comparing medication plus compression devices with medication alone in surgical individuals in the hospital setting. These studies may not permit inference to the post-discharge home setting; however, they are briefly summarized for informational purposes below.

# **Systematic Reviews**

Multiple meta-analyses of RCTs have compared pharmacological VTE prophylaxis plus an IPC device with medication alone in surgical individuals in the hospital setting.(8-13) Surgical populations represented in these analyses include individuals undergoing abdominal, cardiac, neurologic, and orthopedic surgery. Commonly reported outcomes include the occurrence of deep vein thrombosis (DVT), symptomatic DVT, and PE. In addition to an IPC device, cointerventions with other mechanical prophylaxis strategies (graduated compression stockings, etc.) have also been reported in some analyses. Overall, findings from meta-analyses suggest that the in-hospital addition of an IPC device to pharmacologic management improves VTE prophylaxis, especially for the prevention of DVT. Findings related to the risk of PE are more limited because analyses might have been underpowered due to the small number of PE events.

The post-discharge setting has important characteristics that preclude making inferences from the inpatient setting. Individual characteristics vary because discharged individuals tend to be healthier than those in the hospital. Characteristics of home use also vary (e.g., treatment consistency, duration, application errors in use).

# Section Summary: Moderate-to-High Postsurgical Risk of Venous Thromboembolism and No Contraindication to Pharmacologic Prophylaxis

For individuals who have a moderate-to-high postsurgical risk of VTE and no contraindication to pharmacologic prophylaxis who receive home use of an IPC device as an adjunct to anticoagulation, there are no RCTs assessing the incremental benefit of home use of an IPC device. Meta-analyses of RCTs have compared medication plus an IPC device with medication alone in surgical individuals in the hospital setting. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related morbidity. Results of these meta-analyses suggest that in-hospital addition of an IPC device to pharmacologic management improves VTE prophylaxis. Limitations of these meta-analyses include: not distinguishing between asymptomatic and symptomatic DVT, sparse data on PE, and results generally not being stratified by individual risk or specific intervention(s). Moreover, these trials do not permit inferences to the post-discharge home setting since the post discharge setting differs in important respects from the hospital setting. Discharged individuals tend to be healthier than those in the hospital. Factors such as treatment consistency, duration, and application errors in use also differ in the home.

# MODERATE-TO-HIGH POSTSURGICAL RISK OF VTE AND CONTRAINDICATION TO PHARMACOLOGIC PROPHYLAXIS

# **Clinical Context and Therapy Purpose**

The purpose of home use of a limb compression device is to provide a treatment option that is an alternative to or an improvement on existing therapies, such as no outpatient venous prophylaxis or other methods of mechanical prophylaxis, in individuals with a moderate-to-high postsurgical risk of VTE and a contraindication to pharmacologic prophylaxis.

The following PICOs were used to select literature to inform this review.

# **Populations**

The relevant population of interest are individuals with a moderate-to-high postsurgical risk of VTE and a contraindication to pharmacologic prophylaxis.

#### Interventions

The therapy being considered is the home use of a limb compression device.

#### **Comparators**

Comparators of interest include no outpatient venous prophylaxis or other methods of mechanical prophylaxis. Treatment includes conventional therapy.

#### **Outcomes**

The general outcomes of interest are overall survival, symptoms, morbid events, and treatment-related morbidity.

The existing literature evaluating home use of a limb compression device as a treatment for moderate-to-high postsurgical risk of VTE and a contraindication to pharmacologic prophylaxis has varying lengths of follow-up. While studies described below all reported at least 1 outcome of interest, longer follow-up was necessary to fully observe outcomes.

#### **Study Selection Criteria**

Methodologically credible studies were selected using the principles described in the first indication.

#### **Review of Evidence**

This section addresses whether post-discharge limb compression device (commonly referred to in the literature as an IPC device) use in moderate-to-high risk individuals with a contraindication to pharmacologic prophylaxis improves the net health outcome compared with no post-discharge VTE prophylaxis. The ideal study design is an RCT comparing limb compression devices and no prophylaxis after hospital discharge. However, there may be ethical and practical barriers to conducting such as study, especially in higher risk individuals. Alternatively, a network meta-analysis could indirectly compare outcomes of limb compression device use to no VTE prophylaxis. One RCT of post-discharge use in individuals with contraindication to pharmacologic prophylaxis was identified. Briefly summarized below are data from inpatients comparing limb compression device use to no prophylaxis.

#### **Systematic Reviews**

A few meta-analyses of RCTs have compared IPC devices to no prophylaxis in the hospital setting.(14-16) Populations include surgical and nonsurgical individuals, including critically ill individuals in a medical or surgical intensive care unit (ICU). Commonly reported outcomes include the occurrence of DVT and PE. As with the meta-analyses reviewed above, there was heterogeneity of participants and interventions. Studies using a no prophylaxis control group might have included lower risk individuals and some studies involving higher risk individuals also

included pharmacologic prophylaxis across groups. Overall, findings from meta-analyses suggest that the in-hospital addition of an IPC device improves VTE prophylaxis over no prophylaxis, especially for the prevention of DVT; 2 of the 3 meta-analyses also saw statistically significant reductions in the incidence of PE.

#### **Randomized Controlled Trials**

To draw inferences about the benefit of limb compression devices post-discharge in these individuals, the feasibility of home use should be considered. An unblinded RCT by Sobieraj-Teague et al (2012) compared the use of a portable battery-operated IPC device to usual care alone in individuals undergoing cranial or spinal neurosurgery.(17) All individuals were also prescribed graduated compression stockings and 20% to 25% used anticoagulants. Individuals were evaluated at 9 days post-surgery and those discharged earlier were permitted to use an IPC at home (median duration of hospitalization, 4 days). Individuals who used the IPC device post-discharge received home visits at least daily to optimize compliance. Three (4%) of 75 individuals in the IPC group and 14 (19%) of 75 individuals in the usual care group developed VTE; the difference between groups was statistically significant (p=0.008). Among evaluable individuals in the IPC group, 23.3% were continuous users, 53.4% were intermittent users, and 23.3% discontinued use (this includes both inpatient and outpatient use). The mean duration of IPC use was 6.6 days. Findings suggest that in-home use of IPC devices is feasible with adequate post-discharge planning and support.

# Section Summary: Moderate-to-High Postsurgical Risk of VTE and Contraindication to Pharmacologic Prophylaxis

For individuals who have a moderate-to-high postsurgical risk of VTE and a contraindication to pharmacologic prophylaxis who receive home use of an IPC device, there is 1 RCT assessing the feasibility and incremental benefit of post discharge home use of an IPC device. A few meta-analyses of RCTs have compared VTE prophylaxis with an IPC device to no prophylaxis in surgical individuals in the hospital setting, and 1 RCT evaluated the feasibility of post-discharge home use of an IPC. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related morbidity. Results from meta-analyses suggest that inhospital use of an IPC device improves VTE prophylaxis over no prophylaxis. Limitations include heterogeneity of participants and interventions; studies using a no prophylaxis control group might have included lower risk individuals and some studies involving higher risk individuals also included pharmacologic prophylaxis across groups. Nonetheless, the inference is supported that in individuals with a contraindication to pharmacologic prophylaxis, postdischarge use of an IPC device is superior for VTE prophylaxis compared with no prophylaxis. A study of the post discharge use of an IPC device combined with home visits showed that home use is feasible. With post discharge planning and support, home use of an IPC device in moderate-to-high risk individuals who have a contraindication to pharmacologic prophylaxis is likely to improve VTE prevention.

#### SUMMARY OF EVIDENCE

For individuals who have a moderate-to-high postsurgical risk of VTE and no contraindication to pharmacologic prophylaxis who receive home use of an intermittent pneumatic compression (IPC) device as an adjunct to anticoagulation, there are no randomized controlled trials (RCTs) assessing the incremental benefit of home use of an IPC device. Multiple meta-analyses of RCTs have compared medication plus an IPC device with medication alone in surgical individuals in the hospital setting. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related morbidity. Results of these meta-analyses suggest that inhospital addition of an IPC device to pharmacologic management improves VTE prophylaxis. Limitations of these meta-analyses include: not distinguishing between asymptomatic and symptomatic deep vein thrombosis; sparse data on pulmonary embolism; and results generally not stratified by individual risk or specific intervention(s). Moreover, these trials do not permit inferences to the post-discharge home setting, since the post discharge setting differs in important respects from the hospital setting. Discharged individuals tend to be healthier than those in the hospital. Factors such as treatment consistency, duration, and application errors in use differ in the home. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have a moderate-to-high postsurgical risk of VTE and a contraindication to pharmacologic prophylaxis who receive home use of an IPC device, there is 1 RCT assessing the benefit and feasibility of home use of an IPC device. Meta-analyses of RCTs have compared VTE prophylaxis with an IPC device to no prophylaxis in surgical individuals in the hospital setting. Relevant outcomes are overall survival, symptoms, morbid events, and treatment related morbidity. Results from meta-analyses suggest that in-hospital use of an IPC device improves VTE prophylaxis over no prophylaxis. Limitations include heterogeneity of participants and interventions; studies using a no prophylaxis control group might have included lower risk individuals and some studies involving higher risk individuals also included pharmacologic prophylaxis across groups. Nonetheless, the inference is supported that in individuals with a contraindication to pharmacologic prophylaxis, post-discharge use of an IPC device is superior for VTE prophylaxis compared with no prophylaxis. A study of the post discharge use of an IPC device combined with home visits showed that home use is feasible. With post discharge planning and support, home use of an IPC device in moderate-to-high risk individuals who have a contraindication to pharmacologic prophylaxis is likely to improve VTE prevention. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

# **Supplemental Information**

#### PRACTICE GUIDELINES AND POSITION STATEMENTS

# **American Academy of Orthopaedic Surgeons**

In 2011, the American Academy of Orthopaedic Surgeons (AAOS) updated its guidelines on the prevention of venous thromboembolism (VTE) in individuals undergoing elective hip and knee arthroplasty.(18) The guidelines included the following recommendations relevant to this evidence review:

 "The work group suggests the use of pharmacologic agents and/or mechanical compressive devices for the prevention of venous thromboembolism in individuals undergoing elective hip or knee arthroplasty, and who are not at elevated risk beyond that of the surgery itself for venous thromboembolism or bleeding. (Grade of Recommendation: Moderate) Current evidence is unclear about which prophylactic strategy (or strategies) is/are optimal or suboptimal. Therefore, the work group is unable to recommend for or against specific prophylactics in these individuals. (Grade of Recommendation: Inconclusive) In the absence of reliable evidence about how long to employ these prophylactic strategies, it is the opinion of this work group that individuals and physicians discuss the duration of prophylaxis. (Grade of Recommendation: Consensus)

- In the absence of reliable evidence, it is the opinion of this work group that individuals undergoing elective hip or knee arthroplasty, and who have also had a previous venous thromboembolism, receive pharmacologic prophylaxis and mechanical compressive devices. (Grade of Recommendation: Consensus)
- In the absence of reliable evidence, it is the opinion of this work group that individuals undergoing elective hip or knee arthroplasty, and who also have a known bleeding disorder (e.g., hemophilia) and/or active liver disease, use mechanical compressive devices for preventing venous thromboembolism. (Grade of Recommendation: Consensus)"

# **American College of Chest Physicians**

The American College of Chest Physicians (2016) updated its 2012 (19) evidence-based guideline on antithrombotic therapy and prevention of thrombosis.(1) The 2016 update, which addressed antithrombotic therapy for venous thromboembolism (VTE) outlined risk factors for bleeding with anticoagulant therapy and estimated the risks of major bleeding for individuals in various risk categories (see Table 1).

Risk factors include (1 point per factor):

- Age >65 y
- Age>75y
- Previous bleeding
- Cancer
- Metastatic cancer
- Renal failure
- Liver failure
- Thrombocytopenia
- Previous stroke
- Diabetes
- Anemia
- Antiplatelet therapy
- Poor anticoagulant control
- Comorbidity and reduced functional capacity
- Recent surgery
- Alcohol abuse
- Nonsteroidal anti-inflammatory drug.

#### Table 1. Guidelines for Risk of Bleeding

Risk Factors	Estimated	Absolute Risk of Majo	r Bleeding
	Low Risk (0 Risk Factors)	Moderate Risk (1 Risk Factor)	High Risk (≥2 Risk Factors)
Anticoagulation 0-3 mo, %	•		•
Baseline risk	0.6	1.2	4.8

Increased risk	1.0	2.0	8.0	
Total risk	1.6	3.2	12.8	
Anticoagulation after first 3 mo, %/y				
Baseline risk	0.3	0.6	≥2.5	
Increased risk	0.5	1.0	≥4.0	
Total risk	0.8	1.6	≥6.5	

Adapted from Kearon et al (2016).

In the 2012 guidelines for the prevention of VTE in orthopaedic surgery individuals, the ACCP recommended the use of limb compression devices in orthopedic surgical individuals:(2)

- 2.1.1 "In patients undergoing total hip arthroplasty (THA) or total knee arthroplasty (TKA), we recommend use of one of the following for a minimum of 10 to 14 days rather than no antithrombotic prophylaxis: low-molecular-weight heparin (LMWH), fondaparinux, apixaban, dabigatran, rivaroxaban, low-dose unfractionated heparin (LDUH), adjusted-dose vitamin K antagonist (VKA), aspirin (all Grade 1B), or an intermittent pneumatic compression device (IPCD) (Grade 1C)."
- 2.5. "In patients undergoing major orthopedic surgery, we suggest using dual prophylaxis with an antithrombotic agent and an IPCD during the hospital stay (Grade 2C).
- 2.6. "In patients undergoing major orthopedic surgery and increased risk of bleeding, we suggest using an IPCD or no prophylaxis rather than pharmacologic treatment (Grade 2C)."

"The efficacy of mobile mechanical compression devices alone has not been compared with any chemoprophylaxis agent in an appropriately powered randomized trial. In addition, concerns have arisen with regard to patient compliance after hospital discharge and the high cost of these devices."

In 2012, the ACCP recommendations on the use of limb compression devices in nonorthopedic general and abdominal-pelvic surgical individuals, stratified by individual risk of VTE and risk of bleeding are listed in Table 2.(3)

Table 2. Recommendations on Limb Compression Device Use in Nonorthopedic General and Abdominal-Pelvic Surgical Individuals

**Individual Risk Group** Recommendation GOR Very low risk (<0.5%) "[W]e recommend that no specific pharmacologic or 1B mechanical prophylaxis be used other than early 2C 2C Low risk for VTE (»1.5%) "[W]e suggest mechanical prophylaxis, preferably with intermittent pneumatic compression (IPC), over no prophylaxis." 2B Moderate risk for VTE (»3%) and not at "[W]e suggest low-molecular-weight heparin (LMWH), low-dose unfractionated heparin, or mechanical high risk of bleeding 2B prophylaxis with IPC over no prophylaxis." 2C Moderate risk for VTE (»3%) and high risk "We suggest mechanical prophylaxis, preferably with 2C for major bleeding complications or in IPC, over no prophylaxis." whom bleeding consequences would be particularly severe High risk for VTE (»6.0%) and not at high "[W]e recommend pharmacologic prophylaxis with 1B risk of bleeding LMWH or low-dose unfractionated heparin over no 1B prophylaxis. In these individuals, we suggest adding 2C

	mechanical prophylaxis with elastic stockings or IPC to pharmacologic prophylaxis."	
High risk for VTE (»6.0%) and high risk for major bleeding complications or in whom bleeding consequences would be particularly severe	"[W]e suggest use of mechanical prophylaxis, preferably with IPC, over no prophylaxis until the risk of bleeding diminishes and pharmacologic prophylaxis may be initiated."	2C
High risk for VTE, both LMWH and unfractionated heparin contraindicated or unavailable and not at high risk for major bleeding complications:	"[W]e suggest low-dose aspirin, fondaparinux, or mechanical prophylaxis, preferably with IPC, over no prophylaxis."	2C
High risk for VTE, undergoing abdominal or pelvic surgery for cancer and not otherwise at high risk for major bleeding complications	"[W]e recommend extended-duration, postoperative, pharmacologic prophylaxis (4 weeks) with LMWH over limited-duration prophylaxis."	1B

Adapted from Gould et al (2012)4

GOR: grade of recommendation; IPC: intermittent pneumatic compression; LMWH: low molecular weight heparin; VTE: venous thromboembolism.

Note that a standard duration of prophylaxis was not defined. An "extended-duration" prophylaxis was defined as lasting 4 weeks.

# **American College of Obstetricians and Gynecologists**

A 2007 American College of Obstetricians-Gynecologists practice bulletin on prevention of deep vein thrombosis (DVT) and pulmonary embolism after gynecologic surgery was replaced in 2021.(21) As with the ACCP recommendations discussed above, prophylaxis recommendations varied by individual risk level based on the Caprini Risk Assessment Model. For individuals at moderate and high risk of DVT, intermittent pneumatic compression was one of the recommended options for DVT prophylaxis.

Relevant recommendations based on Level A evidence were as follows:

- "For gynecologic surgery individuals who are at high risk of VTE and average risk of bleeding complications, dual thromboprophylaxis with a combination of mechanical prophylaxis (preferably with intermittent pneumatic compression) and pharmacologic prophylaxis (low-dose unfractionated heparin or LMWH) is recommended."
- "For individuals at high risk of VTE who are undergoing cancer surgery, in-hospital dual thromboprophylaxis and extended-duration pharmacologic prophylaxis with LMWH after hospital discharge are recommended."

Relevant recommendations based on Level B evidence were as follows:

- "For gynecologic surgery individuals who are at moderate risk of VTE and not at increased risk of bleeding complications, mechanical thromboprophylaxis (preferably with intermittent pneumatic compression) or pharmacologic thromboprophylaxis (with low-dose unfractionated heparin or LMWH) is recommended."
- "For gynecologic surgery individuals who are at moderate risk of VTE and high risk of major bleeding complications, mechanical prophylaxis (preferably with intermittent pneumatic compression) is recommended."
- "For gynecologic surgery individuals who are at high risk of both VTE and bleeding complications, mechanical prophylaxis (preferably with intermittent pneumatic compression) is recommended until the risk of bleeding decreases and pharmacologic prophylaxis can be added."
- "For gynecologic surgery individuals at high risk of VTE for whom both LMWH and lowdose unfractionated heparin are contraindicated or not available and who are not at high

- risk of major bleeding complications, fondaparinux, mechanical prophylaxis (preferably with intermittent pneumatic compression), or both is recommended."
- "For gynecologic surgery individuals at high risk of VTE and major bleeding complications, and for whom both LMWH and low-dose unfractionated heparin are contraindicated or not available, mechanical prophylaxis alone (preferably with intermittent pneumatic compression) is recommended until the risk of bleeding diminishes and pharmacologic prophylaxis with fondaparinux can be added."

# American Orthopaedic Foot and Ankle Society

The American Orthopaedic Foot & Ankle Society (2020) re-approved a position statement on VTE prophylaxis after foot and ankle surgery. It stated that: "There is currently insufficient data for the American Orthopaedic Foot & Ankle Society to recommend for or against routine VTE prophylaxis for individuals undergoing foot and ankle surgery. Further research in this field is necessary and is encouraged."(22) The position statement further notes the following with regards to the use of mechanical prophylaxis: "Mechanical prophylaxis such as elastic compression stockings and sequential compression calf pumps or foot pumps on the contralateral extremity can be utilized intraoperatively and continued postoperatively through the duration of the hospital stay. While the true efficacy of this modality in foot and ankle surgery is unknown, complications are negligible and compression pumps may be considered in both the outpatient and inpatient setting. Whether there is a threshold duration of the surgical procedure for which these are beneficial is unknown, as is the optimal duration of their use post-operatively."

# **American Society of Clinical Oncology**

In 2019, the American Society of Clinical Oncology (ASCO) released updates to the clinical practice guideline on VTE prophylaxis and treatment in individuals with cancer.(23) The guideline was unchanged from the previous 2019 guideline and makes the following recommendation for mechanical prophylaxis in this population:

Recommendation 3.3. "Mechanical methods may be added to pharmacologic thromboprophylaxis but should not be used as monotherapy for VTE prevention unless pharmacologic methods are contraindicated because of active bleeding or high bleeding risk (Type: evidence based; Evidence quality: intermediate; Strength of recommendation: strong) "

Recommendation 3.4. "A combined regimen of pharmacologic and mechanical prophylaxis may improve efficacy, especially in the highest-risk individuals (Type: evidence-based; Evidence quality: intermediate; Strength of recommendation: moderate)"

#### American Society of Hematology

The American Society of Hematology (2019) issued guidelines for the prevention and management of venous thromboembolism in surgical hospitalized individuals.(24) The following are 2 suggestions for individuals undergoing major surgery:

- Recommendation 3: For those "who receive mechanical prophylaxis,...[use] intermittent
  compression devices over graduated compression stockings (conditional recommendation
  based on very low certainty in the evidence of effects)."
- Recommendation 4: For those "who receive pharmacologic prophylaxis,...[use] combined prophylaxis with mechanical and pharmacological methods over prophylaxis with

pharmacological agents alone (conditional recommendation based on very low certainty in the evidence of effects). Remark: For individuals considered at high risk of VTE, combined prophylaxis is particularly favored over mechanical or pharmacological prophylaxis alone."

# **Ongoing and Unpublished Clinical Trials**

Some currently unpublished trials that might influence this review are listed in Table 3.

**Table 3. Summary of Key Trials** 

NCT No.	Trial Name	Planned Enrollment	Completion Date
Ongoing			
NCT03259204	Swedish Multicenter Trial of Outpatient Prevention of Leg Clots (StopLegClots)	1400	December 2025

NCT: national clinical trial.

# **Government Regulations National:**

No national coverage determination was found for postsurgical prophylactic home use for venous thromboembolism prevention.

#### Local:

Local Coverage Determination: Pneumatic Compression Devices (L33829), Original Effective Date 10/1/15, Revision Effective Date 10/22/23 (Note: for the purposes of this policy, references to the treatment of <u>Deep Venous Thrombosis Prevention</u> are the focus)

Coverage Indications, Limitations, and/or Medical Necessity

#### Deep Venous Thrombosis Prevention

A PCD coded as E0676 is used only for prevention of venous thrombosis. Refer to the related Policy Article non-medical necessity coverage and payment rules section for information about lack of a Medicare benefit for devices used for prophylaxis of venous thrombosis.

#### **ACCESSORIES**

PCD related accessories (E0655-E0673) are eligible for reimbursement only when the appropriate, related base PCDs (E0650-E0651, E0675) meets the applicable coverage criteria for that type of PCD. If the base PCD is not covered, related accessories are not eligible for reimbursement. Claims for related items will be denied as not reasonable and necessary.

**Local Coverage Article: Pneumatic Compression Devices (A52488),** Original Effective Date: 10/01/15: Revision Date: 10/22/23

# PREVENTION OF VENOUS THROMBOEMBOLISM

A pneumatic compression device (PCD) that provides intermittent limb compression for the purpose of prevention of venous thromboembolism (E0676) is a preventive service. Items that are used for a preventative service or function are excluded from coverage under the Medicare DME benefit.

Therefore, claims for E0676 (INTERMITTENT LIMB COMPRESSION DEVICE (INCLUDES ALL ACCESSORIES), NOT OTHERWISE SPECIFIED) will be statutorily denied as no Medicare benefit.

(The above Medicare information is current as of the review date for this policy. However, the coverage issues and policies maintained by the Centers for Medicare & Medicare Services [CMS, formerly HCFA] are updated and/or revised periodically. Therefore, the most current CMS information may not be contained in this document. For the most current information, the reader should contact an official Medicare source.)

# **Related Policies**

N/A

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The articles reviewed in this research include those obtained in an Internet based literature search for relevant medical references through 7/19/24, the date the research was completed.

# Joint BCBSM/BCN Medical Policy History

Policy Effective Date	BCBSM Signature Date	BCN Signature Date	Comments
7/1/13	4/16/13	4/22/13	Joint policy established
1/1/15	10/24/14	11/3/14	Routine maintenance Removed procedure code; added codes E0650-E0673 and E0676 Policy title revised - added "Postsurgical" and removed the word "Pneumatic"
5/1/16	2/16/16	2/16/16	Routine maintenance
5/1/17	2/21/17	2/21/17	Routine maintenance Policy extensively rewritten References updated In title, "Outpatient" deleted and "Home" added.
5/1/18	2/20/18	2/20/18	Routine maintenance
5/1/19	2/19/19		Routine maintenance
1/1/20	10/15/19		Routine maintenance
1/1/21	10/20/20		Routine maintenance
1/1/22	10/19/21		Routine maintenance
1/1/23	10/18/22		Routine maintenance (slp)
1/1/24	10/25/23		<ul> <li>Routine maintenance (slp)</li> <li>Vendor managed: Northwood</li> <li>Pharmacological therapies clarified in inclusions as anticoagulants and antiplatelets</li> </ul>
1/1/25	10/15/24		<ul><li>Routine maintenance (slp)</li><li>Vendor managed: Northwood</li></ul>

Next Review Date: 4<sup>th</sup> Qtr, 2025

# BLUE CARE NETWORK BENEFIT COVERAGE POLICY: POSTSURGICAL HOME USE OF LIMB COMPRESSION DEVICES FOR VENOUS THROMBOEMBOLISM PROPHYLAXIS

# I. Coverage Determination:

Commercial HMO (includes Self-Funded groups unless otherwise specified)	Covered, policy criteria apply
BCNA (Medicare	Refer to the Medicare information under the Government
Advantage)	Regulations section of this policy.
BCN65 (Medicare	Coinsurance covered if primary Medicare covers the
Complementary)	service.

#### II. Administrative Guidelines:

- The member's contract must be active at the time the service is rendered.
- Coverage is based on each member's certificate and is not guaranteed. Please
  consult the individual member's certificate for details. Additional information regarding
  coverage or benefits may also be obtained through customer or provider inquiry
  services at BCN.
- The service must be authorized by the member's PCP except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Services must be performed by a BCN-contracted provider, if available, except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Payment is based on BCN payment rules, individual certificate and certificate riders.
- Appropriate copayments will apply. Refer to certificate and applicable riders for detailed information.
- CPT HCPCS codes are used for descriptive purposes only and are not a guarantee of coverage.